

Corporate Application Form



For Internal Use Only

Reference : _____

Receipt : _____

This application must be fully completed and duly signed in order to open an account with ActivTrades.
Please contact our desk on **+44 (0)207 6500 500** or at **englishdesk@activtrades.com** should you have any queries.

Type Of Account :	ActivTrader	MetaTrader 4	MetaTrader 5	
	Spread Betting AT	Spread Betting MT4	Spread Betting MT5	
Account Currency :	GBP	USD	EUR	CHF

Please ensure you complete all the fields correctly; otherwise the application will be delayed.

Company Information

Name of Corporation :

Address :

Date of Incorporation :

Registered Number :

Nature of Business :

Telephone :

Email :

Fax :

Preferred Language :

Website :

Directors and Authorised Individuals

Name :

Signature :

Position :

Tick this box if you are **resident in the US** for tax purposes or if you are a US citizen.

Tick this box if you are **not resident in the US** for tax purposes or a US citizen.

Name :

Signature :

Position :

Tick this box if you are **resident in the US** for tax purposes or if you are a US citizen.

Tick this box if you are **not resident in the US** for tax purposes or a US citizen.

Name :

Signature :

Position :

Tick this box if you are **resident in the US** for tax purposes or if you are a US citizen.

Tick this box if you are **not resident in the US** for tax purposes or a US citizen.

Shareholders List

Name :

Signature :

Percentage of Shares :

Tick this box if you are **resident in the US** for tax purposes or if you are a US citizen.

Tick this box if you are **not resident in the US** for tax purposes or a US citizen.

Name :

Signature :

Percentage of Shares :

Tick this box if you are **resident in the US** for tax purposes or if you are a US citizen.

Tick this box if you are **not resident in the US** for tax purposes or a US citizen.

Name :

Signature :

Percentage of Shares :

Tick this box if you are **resident in the US** for tax purposes or if you are a US citizen.Tick this box if you are **not resident in the US** for tax purposes or a US citizen.

Name :

Signature :

Percentage of Shares :

Tick this box if you are **resident in the US** for tax purposes or if you are a US citizen.Tick this box if you are **not resident in the US** for tax purposes or a US citizen.

Regulatory Information

1. Are you registered with the FCA or similar regulatory body ? If yes, please provide the registration number and the name of the regulatory body

Yes No

2. Is the company or its parent listed on any Stock Exchange ?

Yes No

3. Please provide your company BIC code or LEI (Legal Entity Identifier).

Below questions are for firms within EEA, if the firm is outside EEA move to the Trading Experience section

4. Are you presently reporting or are planning to report under EMIR ?If yes, please specify your Trade Repository

Yes No

5. Would you like Activtrades PLC to report on your behalf (delegated reporting under EMIR) ?

Yes No

6. Are you a financial or non-financial firm ?

Financial Non-financial

7. Are you a Financial counterparty under EMIR ?

Yes No Exempt

8. Is your trading activity directly linked to (compulsory if legal entity is non-financial)

Commercial activity or treasury financing (such as hedging) ?

Other activities (such as speculation) ?

9. Which of the Clearing threshold apply to your firm ? (compulsory if legal entity is non-financial)

€1 billion for credit derivative contracts (A)

€1 billion for equity derivative contracts (B)

€3 billion for interest rate derivative contracts (C)

€3 billion for foreign exchange derivative contracts (D)

€3 billion for commodity derivative contracts and other derivative contracts not provided for under (A) through to (D)

None of the above

10. Corporate sector (compulsory if legal entity is financial).

Please see the classification:

A = Assurance undertaking authorised in accordance with Directive 2002/83/EC

C = Credit institution authorised in accordance with Directive 2006/48/EC

F = Investment firm in accordance with Directive 2004/39/EC

I = Insurance undertaking authorised in accordance with Directive 73/239/EEC

L = Alternative investment fund managed by AIFMs authorised or registered in accordance with Directive 2003/41/EC

O = Institution for occupational retirement provision within the meaning of Article 6(a) of Directive 2003/41/EC

R = Reinsurance undertaking authorised in accordance with Directive 2005/68/EC

U = UCITS and its management company, authorised in accordance with Directive 2009/65/EC

Trading Experience

Please provide details of your knowledge and experience in the last 5 years (where you traded on your own real account):

*Kindly make sure that the information about the trading experience is accurate as otherwise this might impact your application.

1. What is your length of experience in the following instruments?

	Bonds	Shares	Options, Futures	Forex, Cfds, Spreadbetting
No experience				
Up to 1 month				
1 to 3 months				
3 to 12 months				
More than 1 year				

2. How many transactions did you execute with the instruments?

	Bonds	Shares	Options, Futures	Forex, Cfds, Spreadbetting
Up to 10				
10 to 50				
50 to 100				
Over 100				

3a. What is the total value (in your designated currency) of the transactions executed with the instrument?

	Bonds	Shares	Options, Futures	Forex, Cfds, Spreadbetting
Up to 1,000				
1,000 to 10,000				
10,000 to 100,000				
Over 100,000				

3b. What is the total amount of lots executed with the instrument?

	Bonds	Shares	Options, Futures	Forex, Cfds, Spreadbetting
Up to 1				
1 to 10				
10 to 100				
Over 100				

4. How frequently did you trade?

	Bonds	Shares	Options, Futures	Forex, Cfds, Spreadbetting
Daily (at least 1 transaction a day)				
Weekly (at least 1 transaction a week)				
Monthly (at least 1 transaction a month)				
Less than monthly (less than 1 transaction a month)				

5. Where did you get your knowledge about the instruments? (select all that apply)

	Bonds	Shares	Options, Futures	Forex, Cfds, Spreadbetting
I undertook studies or self-training (e.g. seminars, webinars, etc.)				
My knowledge is from work experience or professional activities				
My knowledge is from trading experience				

6. What level of education did you undertake?

None	Basic (School)	Medium (High School/College)	Higher education (Degree level)
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7. Please provide any further relevant information to assist us in assessing your education, knowledge and experience of the products we offer:

8a. Have you used a demo account(s) to trade Forex or CFDs?

Yes, I fully understand the platform and products	
Yes, which enhanced my knowledge of the platform and my understanding of the instruments	
Yes, which enhanced my knowledge of the platform but not my understanding of the instruments	
Never	

8b. How many transactions did you undertake using demo account(s) in the last year?

Up to 10	10 to 50	50 to 100	Over 100
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Financial Information

Gross annual £

Number of employees

Net annual £

Own funds

Balance Sheet £

Funds available for trading with ActivTrades

Initial Deposit £

GBP

USD

EUR

CHF

Please provide any other information, you consider relevant to your application

I hereby certify that all information provided in this application form is accurate, and I am aware that the information will be relied upon to service my account. I will advise you immediately in writing of any changes in such information or data or in my investment objectives.

Document Checklist:

The following policies and documents are available on our website (www.activtrades.com) or in hard copy on request. If you have any questions, please call **44 (0) 207 6500 500** or email us at englishdesk@activtrades.com.

I confirm that i have read the following policies/documents and agree to their terms.

Customer Agreement: http://cdn.activtrades.com/documents/legal/at_en_customer_agreement.pdf

Risk Disclosure Notice: http://cdn.activtrades.com/documents/legal/at_en_risk_disclosure.pdf

Order execution policy: http://cdn.activtrades.com/documents/legal/at_en_summary.pdf

Swaps information: http://www.activtrades.co.uk/index.aspx?page=forex_swaps

Conflicts of interest policy: http://cdn.activtrades.com/documents/legal/at_en_conflicts.pdf

Electronic Trading Supplement: http://cdn.activtrades.com/documents/legal/at_en_electronic.pdf

EMIR Reporting Delegation Agreement <http://www.activtrades.co.uk/documents/EMIR-agreement-OK.pdf>

Print Name :
(of director)

Signature :
(on behalf of corporation)

Date :

Please refer to the corporate checklist on page 11 for further guidance on completion of the form and document requirements.

CORPORATE CHECKLIST

Please use this checklist to assist you with your corporate application form.
Should you have any questions concerning the approval process, please contact our desk +44 (0)207 6500 500 or englishdesk@activtrades.com or info@activtrades.com

Customer Support Form

Application Form

Please ensure ALL the below are filled in accurately in the application form

1. Full name of the company
2. Specify nature of business
3. Date of incorporation
4. Registered address
5. Full names of all Directors
6. Full names of all Authorised persons
7. Full names of Shareholders
8. Regulatory status, registration number and name of the regulatory body in case the Company is regulated

Required Documentation

In order to open a corporate account with us the following **documents MUST be provided**.
Please refer to country list below for documentation requirement for individuals

1. Completed and signed application form
2. Certificate of Incorporation
3. Memorandum and Articles of Association OR Equivalent
4. Verification of ALL Directors and Authorised persons
5. Verification of Shareholders holding more than 25% of the company's shares

Please note that if any of the Shareholders or Directors is a corporate entity, ActivTrades PLC will require a complete list of supporting documentation for each company as listed above.

CORPORATE CHECKLIST

Country List

We require FULL set of documents as per below for ALL Directors, Authorised individuals and Shareholders with 25% or above.

<p>Category A</p> <p>(ONE ID & ONE ADDRESS Verification)</p>	<p>Austria, Belgium, Denmark, Finland, France, Germany, Greece, Iceland, Ireland, Italy, Luxembourg, Netherland, Norway, Portugal, Spain, Sweden, Switzerland, UK.</p>
<p>Category B</p> <p>(ONE ID & TWO ADDRESS Verification DOCUMENTS or VICE VERSA)</p>	<p>Argentina, Australia, Brazil, Bulgaria, Cyprus, Czech Republic, China, Croatia, Estonia, Hong Kong, Hungary, Gibraltar, India, Latvia, Liechtenstein, Lithuania, Malta, Mexico, Monaco, New Zealand, Poland, Romania, Russia, Singapore, Slovakia, South Africa, South Korea, French Territories of French Guiana, Guadeloupe, Martinique, Reunion, French Polynesia, Mayotte, New Caledonia, Saint Pierre and Miquelon, Wallis and Futuna, French Southern Territories.</p>
<p>Category C</p> <p>(TWO ID & TWO ADDRESS Verification)</p>	<p>All other countries.</p>
<p>Currently we DO NOT accept clients from the following countries and regimes.</p> <p>We will inform you should this change in the future.</p>	<p>Al Qaida & Taliban, Belarus, Burma/Myanmar, Canada, Democratic Republic of Congo, Egypt, Eritrea, Federal Republic of Yugoslavia & Serbia, Iran, Iraq, Ivory Coast, Lebanon, Syria, Liberia, Libya, North Korea (Democratic People's Republic Of Korea), Republic Of Guinea, Somalia, Sudan, Tunisia, Zimbabwe, USA, Japan, Afghanistan, Central African Republic and Yemen.</p>

CORPORATE CHECKLIST

ID Verification	Address Verification
<ul style="list-style-type: none"> - Passport - National ID Card - Driving license - Any other Government issued ID card - Birth Certificate 	<ul style="list-style-type: none"> - Bank statement - Utility Bill (Gas, Water, Electric or Landline) - Credit Card statement - Certificate of Residence issued by the Government
ID documents MUST be Valid	All proof of address MUST be within 3 months of issue date
<p>Any expired verification documents will be rejected Bear in mind we DO NOT accept Mobile phone bills, online bills or documents with PO BOX address.</p>	

Please return your completed form along with all supporting documents to support@activtrades.com.
 If you have any queries please do not hesitate to contact our Support desk on +44 (0)207 6500 500
 or englishdesk@activtrades.com or info@activtrades.com

Please note we are unable to open the account without the supporting documents.